



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ajitkumar B. Nair et al.

Examiner: Unknown

Serial No.: 10/690,004

Group Art Unit: Unknown

Filed: October 21, 2003

Docket No.: 1001.1696101

For: UNFOLDING BALLOON FOR PROXIMAL EMBOLUS PROTECTION

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20<sup>th</sup> day of January, 2004.

By

Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (Pursuant to 37 CFR §1.98(a)(2)(i), copies of the references are not being submitted).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By

Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



P A T E N T

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**CERTIFICATE UNDER 37 C.F.R. 1.08:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20th day of January, 2004.

By

Glenn M. Seager  
Glenn M. Seager

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

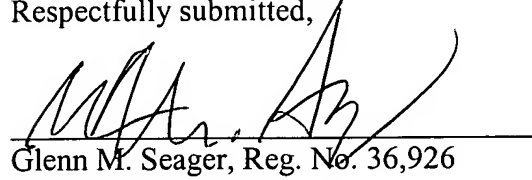
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Pursuant to 37 CFR§1.98(a)(2)(i), copies of the documents listed on the 1449 Form are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Jan. 20, 2004

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Glenn M. Seager", written over a horizontal line.

Glenn M. Seager, Reg. No. 36,926

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FORM 1449\*

INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:  
1001.1696101Application Number:  
10/690,004

Applicant: Ajitkumar B. Nair et al.

Filing Date: October 21, 2003

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## U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,635,070 B2	10/21/03	Leeftang et al.		
	6,616,679 B1	09/09/03	Khosravi et al.		
	6,607,506 B2	08/19/03	Kletschka		
	6,596,011 B2	07/22/03	Johnson et al.		
	6,592,616 B1	07/15/03	Stack et al.		
	6,589,263 B1	07/08/03	Hopkins et al.		
	6,569,184 B2	05/27/03	Huter		
	6,569,182 B1	05/27/03	Balceta et al.		
	6,544,279 B1	04/08/03	Hopkins et al.		
	6,527,790 B2s	03/04/03	Chien et al.		
	6,511,503 B1	01/28/03	Burkett et al.		
	6,491,712 B1	12/10/02	O'Connor		
	6,485,500 B1	11/26/02	Kokish et al.		
	6,485,456 B1	11/26/02	Kletschka		
	6,443,926 B1	09/03/02	Kletschka		
	6,383,205 B1	05/07/02	Samson et al.		
	6,336,934 B1	01/08/02	Gilson et al.		
	6,277,139 B1	08/21/01	Levinson et al.		
	6,165,199	12/26/00	Barbut		
	6,139,510	10/31/00	Palermo		
	6,001,118	12/14/99	Daniel et al.		
	5,947,995	09/07/99	Samuels		
	5,772,609	06/30/98	Nguyen et al.		
	5,695,519	12/09/97	Summers et al.		
	4,469,100	09/04/84	Hardwick		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.